

EXPRESS MAIL LABEL 682441290US  
Serial No. 09/622,329PATENT  
RCA 89,399

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : MEHMET KEMAL OZKAN, CHIA-YUAN TENG,  
EDWIN ARTURO HEREDIA

Serial No. : 09/622,329

Int'l Appln. No. : PCT/US99/03511

Filed : 18 February 1999

For : A MULTIMEDIA SYSTEM FOR PROCESSING  
PROGRAM GUIDES AND ASSOCIATED MULTIMEDIA OBJECTS

**ATTENTION: PCT RECEIVING OFFICE****FILING OF MISSING REQUIREMENTS OF APPLICATION -  
RESPONSE TO "NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371"**

Hon. Commissioner of Patents  
Box PCT  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Requirements Under 37 CFR 371, mailed September 27, 2000, and relating to the above-identified application Serial No. 09/622,329, Applicants hereby submit the following:

- 1) An executed Declaration and Powers of Attorney – **3 pages**.
- 2) An executed Assignment with Recordal Cover Page – **4 pages**.
- 3) Copy of Missing Parts Notice PCT/DO/EO/905.
- 4) Petition for Extension of Time under 37 CFR 1.136(a).

Please charge the following fees to Deposit Account No. 07-0832:


1) A surcharge of **\$130.00** required under 37 CFR 1.497(a) and (b) for filing the Declaration and basic filing fee on a date later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)) and a four-month Extension fee of **\$1,390.00**.

2) An assignment recordation fee of **\$40.00**.

Please charge any additional fees and credit any overpayments to Deposit Account No. 07-0832. A duplicate copy of this letter is enclosed for use in charging the deposit account.

03/02/2001 MNGUYEN 00000041 070832 09622329  
01 FC:154 130.00 CH

Respectfully submitted,

  
Joel Fogelson  
Registration No. 43,613  
609/734-9534

THOMSON multimedia Licensing Inc.  
PO Box 5312  
Princeton, NJ 08543-5312  
DATE: February 26, 2001

009130 0222360

EXPRESS MAIL LABEL FL682441290US  
Serial No.09/622,329

PATENT  
RCA 89,399

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

I hereby certify that this Response to Notification of Missing Requirements is being deposited with the United States Postal Service via "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents Washington, D.C. 20231 on:

Feb. 26, 2001  
Date of Deposit

Anelia F. Urban  
Anelia F. Urban  
(Name of Person mailing papers)

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## UNITED STATES DEPARTMENT OF COMMERCE

## Patent and Trademark Office

Address: ASSISTANT COMMISSIONER FOR PATENTS

Box PCT

Washington, D.C. 20231

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OCT 04 2000

89399

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/622329	OZKAN	M RCA 89399

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AJB

INTERNATIONAL APPLICATION NO.

PCT/US99/03511

I.A. FILING DATE

PRIORITY DATE

18 FEB 99

20 FEB 98

DATE MAILED:

27 SEP 2000

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

☐ a Designated Office (37 CFR 1.494),☒ an Elected Office (37 CFR 1.495):☒ U.S. Basic National Fee.☒ Copy of the international application in:☐ a non-English language.☒ English.☒ Translation of the international application into English.☐ Oath or Declaration of inventors(s) for DO/EO/US.☐ Copy of Article 19 amendments.☐ Translation of Article 19 amendments into English.☐ The International Preliminary Examination Report in English and its Annexes, if any.☐ Translation of Annexes to the International Preliminary Examination Report into English.☒ Preliminary amendment(s) filed 16 aug 2000 and☐ Information Disclosure Statement(s) filed and☒ Assignment document.☐ Power of Attorney and/or Change of Address.☐ Substitute specification filed☐ Verified Statement Claiming Small Entity Status.☐ Priority Document.☒ Copy of the International Search Report ☒ and copies of the references cited therein.☐ Other:

2. The following items
- MUST**
- be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ \_\_\_\_\_ as a
- ☐
- large entity
- ☐
- small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☐ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes
- MUST**
- be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

- 5.
- ☐
- The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

***A copy of this notice MUST be returned with this response.***

Enclosed:

☐ PCT/DO/EO/917☐ Notice of Defective Translation☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

SHELBY VIGIL, PARALEGAL

Telephone: 703-305-3653